## Lucas Monteiro Nogueira

Note: In the following problems, $S$ is the start symbol of any given context-free grammar, unless stated otherwise.

## PROBLEMS

## - Problem 1

Regarding the theory of grammars and pushdown automata, true or false?
1.( ) Context-free languages are closed under union, concatenation, intersection, and Kleene closure.
2.( ) One important operation in languages is the cycle operation cyc. Roughly speaking, cyc sends every string to the set of all its cyclic shifts, or conjugates. Importantly, the class of regular languages is closed under the cyc operation. Furthermore, it can be shown that if $L$ is regular, then so is cyc(L).
3.( ) Finite state automata are to regular languages as nondeterministic pushdown automata are to context-free languages.
4.( ) The following diagram correctly illustrates the set inclusions described by the Chomsky hierarchy of languages.

5.( )The context-free grammar $G$ with the following rules is unambiguous.

$$
\begin{gathered}
S \rightarrow a A \\
A \rightarrow B A \mid a \\
B \rightarrow b S \mid c S
\end{gathered}
$$

6.( ) If $G$ is a context-free grammar in Chomsky normal form, then for any string $w \in L(G)$ of length $n \geq 1$, exactly $2 n+1$ steps are required for any derivation of $w$.
7.( ) The grammar below is a simple or s-grammar.

$$
S \rightarrow a S|b S S| a S S \mid c
$$

8.( ) Every grammar in Greibach normal form is also a simple or s-grammar.

M Problem 2
Construct the string 0100110 from the following grammar by using
Problem 2.1: Leftmost derivation
Problem 2.2: Rightmost derivation

$$
\begin{gathered}
S \rightarrow 0 S \| 1 P P \\
P \rightarrow 0\|1 P\| 0 Q \\
Q \rightarrow 1 \| 0 Q Q
\end{gathered}
$$

Problem 2.3: Draw a parse tree for the leftmost derivation of the string in question.

## | Problem 3

Construct the string $a b b b b$ from the following grammar by using
Problem 3.1: Leftmost derivation
Problem 3.2: Rightmost derivation

$$
\begin{aligned}
& S \rightarrow a A B \\
& A \rightarrow b B b \\
& B \rightarrow A \mid \varepsilon
\end{aligned}
$$

M Problem 4
Using the following grammar, draw the parse tree that corresponds to the leftmost derivation of string 11001010.

$$
\begin{gathered}
S \rightarrow 1 N \mid O M \\
M \rightarrow 1|1 S| O M M \\
N \rightarrow 0|O S| 1 N N
\end{gathered}
$$

## N Problem 5

Consider the grammar

$$
S \rightarrow a S|a S b S| \varepsilon
$$

Problem 5.1: This grammar is ambiguous. Show in particular that the string $a a b$ has two parse trees for leftmost derivation.
Problem 5.2: Find an unambiguous grammar for the language generated by the grammar above.

## \I Problem 6 (Hopcroft et al., 2001)

Let $T=\{0,1,(),,+, \star, \emptyset, \varepsilon\}$. We may think of $T$ as the set of symbols used by regular expressions over alphabet $\{0,1\}$. Your task is to design a contextfree grammar with set of terminals $T$ that generates exactly the regular expressions with alphabet $\{0,1\}$. Is the grammar you designed in the previous part unambiguous? If not, redesign it to be unambiguous.

## M Problem 7

Problem 7.1: Consider the context-free grammar $G_{1}=(\{S\},\{0,1\},\{S \rightarrow \varepsilon, S \rightarrow 0 S 1\}$, S). What is the language generated by this grammar?

Problem 7.2: Consider the context-free grammar $G_{2}=(\{S, A, B\},\{a, b\}, R, S)$, where $R$ consists of the following rules,

$$
\begin{aligned}
S & \rightarrow A B A \\
A & \rightarrow a \mid b b \\
B & \rightarrow b S \mid \varepsilon
\end{aligned}
$$

What is the language generated by this grammar?

## M Problem 8

Give context-free grammars that generate the following languages. In all parts, the alphabet is $\Sigma=\{0,1\}$.
Problem 8.1: The empty set
Problem 8.2: $\{w \mid w$ starts and ends with the same symbol $\}$
Problem 8.3: $\{w \mid$ the length of $w$ is odd $\}$
Problem 8.4: $\left\{w \mid w=w^{R}\right.$, that is, $w$ is a palindrome $\}$

## M Problem 9

Give informal descriptions of pushdown automata used to implement the four languages developed in Problem 8.

## , Problem 10

Provide grammars that can be used to generate the following languages.
Problem 10.1: The complement of the language $\left\{a^{n} b^{n} \mid n \geq 0\right\}$
Problem 10.2: $\left\{x_{1} \# x_{2} \# \ldots \# x_{k} \mid k \geq 1\right.$, each $x_{i} \in\{a, b\}^{*}$, and for some $i$ and $\left.j, x_{i}=x_{j}^{R}\right\}$
M Problem 11
Problem 11.1: Give a context-free grammar that generates the language

$$
A=\left\{a^{i} b^{j} c^{k} \mid i=j \text { or } j=k \text { where } i, j, k \geq 0\right\}
$$

Is your grammar ambiguous? Why or why not?
Problem 11.2: Give an informal description of a pushdown automaton that recognizes the language $A$ specified in the previous part.

## 1 Problem 12

Consider the regular grammar

$$
G=(\{S, A\},\{0,1\},\{S \rightarrow 0 A, A \rightarrow 1 A|0| 1 S\}, S)
$$

Construct a pushdown automaton for the language generated by grammar G.

## M Problem 13

Construct a context-free grammar that generates the regular language accepted by the discrete finite automaton illustrated below.


## M Problem 14 (Kumar, 2010)

Problem 14.1:Consider the pushdown automaton $M=(Q, \Sigma, \Gamma, \delta, s, F)=\{\{q, p\}$, $\{a, b, c\},\{a, b\}, \delta, q,\{p\}$ ) (note that we are not using the 7-tuple notation, as we have omitted the initial stack symbol $Z_{o}$ ), whose transition function is described by the following rules. Describe the language $L(M)$.

| $\delta(q, a, \varepsilon)=\{(q, a)\}$ | $\delta(p, a, a)=\{(p, \varepsilon)\}$ |
| :---: | :---: |
| $\delta(q, b, \varepsilon)=\{(q, b)\}$ | $\delta(p, b, b)=\{(p, \varepsilon)\}$ |
| $\delta(q, c, \varepsilon)=\{(p, \varepsilon)\}$ |  |

Problem 14.2: Propose transition rules for a pushdown automaton that accepts all strings over $\{a, b\}$ that contain an equal number of $a$ 's and $b$ 's; the corresponding language is of course

$$
L=\left\{s \in(a, b)^{*} \mid n_{a}(s)=n_{b}(s)\right\} \text { by null store. }
$$

Problem 14.3: Propose transition rules for a pushdown automaton that accepts all strings over $\{a, b\}$ that describes the language

$$
L=\left\{x \in(a, b)^{*} \mid \text { number of } a \text { 's is greater than number of } b \text { 's }\right\}
$$

Problem 14.4: Design a pushdown automaton for the language

$$
L=\left\{s \in(a, b)^{*} \mid n_{a}(s) \neq n_{b}(s)\right\}
$$

That is, language $L$ describes strings $s$ in $\{a, b\}$ such that the number of $a$ 's is not equal to the number of $b$ 's.
Problem 14.5: Design a pushdown automaton for language $L=\left\{a^{n} b^{3 n} \mid n \geq 0\right\}$.
Problem 14.6: Design a pushdown automaton for language $L=\left\{a^{i} b^{j} c^{k} \mid i \neq j\right.$
or $j \neq k\}$.

## Problem 15 (Kumar, 2010)

Reduce context-free grammar $G$ to Chomsky normal form.

$$
\begin{gathered}
S \rightarrow a A C \\
A \rightarrow a B \mid b A B \\
B \rightarrow b \\
C \rightarrow C
\end{gathered}
$$

## SOLUTIONS

## P. $1 \Rightarrow$ Solution

1. False. Context-free languages are closed under union, concatenation, and Kleene closure, but not under intersection or complement. Hopcroft's textbook provides a quick example of nonclosure under intersection. The language $L$ below is not context-free.

$$
L=\left\{0^{n} 1^{n} 2^{n} \mid n \geq 1\right\}
$$

In contrast, the two following languages are in fact context-free,

$$
L_{1}=\left\{0^{n} 1^{n} 2^{i} \mid n \geq 1, i \geq 1\right\}
$$

$$
L_{2}=\left\{0^{i} 1^{n} 2^{n} \mid n \geq 1, i \geq 1\right\}
$$

A grammar for $L_{1}$ is described below.

$$
\begin{gathered}
S \rightarrow A B \\
A \rightarrow 0 A 1 \mid 01 \\
B \rightarrow 2 B \mid 2
\end{gathered}
$$

In this grammar, $A$ generates all strings of the form $0^{n} 1^{n}$, and $B$ generates all strings of 2's. A grammar for $L_{2}$ is

$$
\begin{gathered}
S \rightarrow A B \\
A \rightarrow O A \mid 0 \\
B \rightarrow 1 B 2 \mid 12
\end{gathered}
$$

This grammar works similarly, but with $A$ generating any string of 0 's, and $B$ generating matching strings of 1's and 2's.

However, $L=L_{1} \cap L_{2}$. To see why, observe that $L_{1}$ requires that there be the same number of 0's and 1's, while $L_{2}$ requires the numbers of 1's and 2's to be equal. A string in both languages must have equal numbers of all three symbols and thus be in L. If the CFLs were closed under intersection, then we could prove the false statement that $L$ is context-free. We conclude by contradiction that the CFLs are not closed under intersection.
2. True. Letting $L$ be a regular language and $c y c(L)$ the cycled language such that

$$
\operatorname{cyc}(L):=\left\{x_{1} x_{2}: x_{2} x_{1} \in L\right\}
$$

it follows that $\operatorname{cyc}(L)$ is also regular.
3. True. Nothing to add here.
4. False. For the illustration to be correct, "context-sensitive" and "context-free" should switch places.
5. True. Since there is only one S-rule, we may write the lookahead set $L A_{1}(S)=L A_{1}(S \rightarrow a A)$. Next, it is easy to see that the two lookahead sets for $B$ are $L A_{l}(B \rightarrow b S)=\{b\}$ and $L A_{l}(B \rightarrow c S)=\{c\}$, which are disjoint. Finally, the two lookahead sets of $A$ are $L A_{1}(A \rightarrow B A)=\{b, c\}$ and $L A_{1}(A \rightarrow a)=\{a\}$, which are likewise disjoint. Accordingly, $G$ is a strong $L L(1)$ grammar and can be said to be unambiguous.
6. False. For every $n \geq 1$, a derivation of string $w$ requires $2 n-1$ steps.
7. False. A context-free grammar $G=(V, T, S, P)$ is said to be a simple grammar if all its productions are of the form $A \rightarrow a x$, where $A \in V, a \in T, x \in$ $V^{*}$, and any pair $(A, a)$ occurs at most once in $P$. The grammar at hand is not an $s$-grammar because the pair $(S, a)$ occurs in two productions, namely $S \rightarrow a S$ and $S \rightarrow a S S$.
8. False. A context-free grammar $G=(V, T, S, P)$ is said to be in Greibach normal form if all productions have the form $A \rightarrow a x$, where $A \in V, a \in T, x \in$ $V^{*}$. Unlike in the definition of $s$-grammar, however, there is no restriction on the number of occurrences of pairs $(A, a)$. It follows that every s-grammar also obeys the Greibach normal form, but not every grammar in Greibach normal form is as-grammar.

## P. $2 \Rightarrow$ Solution

Problem 2.1: The leftmost derivation is developed below. Symbols substituted over the course of the derivation are highlighted with an underscore.
$S \rightarrow 0 S \rightarrow 01 \underline{P} P \rightarrow 010 \underline{Q} P \rightarrow 0100 \underline{Q} Q P \rightarrow 01001 \underline{Q} P \rightarrow 010011 \underline{P} \rightarrow 0100110$
Problem 2.2: The rightmost derivation is shown in continuation.
$S \rightarrow 0 \underline{S} \rightarrow 01 P \underline{P} \rightarrow 01 \underline{P} 0 \rightarrow 010 Q 0 \rightarrow 0100 Q \underline{Q} 0 \rightarrow 0100 \underline{Q} 10 \rightarrow 0100110$
Problem 2.3: The parse tree for the leftmost derivation is shown on the next page.


## P. $3 \Rightarrow$ Solution

Problem 3.1: The leftmost derivation is shown below. Substituted symbols are highlighted with an underscore.

$$
S \rightarrow a \underline{A B} \rightarrow a b \underline{B} b B \rightarrow a b \underline{A} b B \rightarrow a b b \underline{B} b b B \rightarrow a b b b b \underline{B} \rightarrow \boldsymbol{a b} \boldsymbol{b} \boldsymbol{b} \boldsymbol{b}
$$

Problem 3.2: The rightmost derivation is shown in continuation.

$$
S \rightarrow a A \underline{B} \rightarrow a \underline{A} \rightarrow a b \underline{B} b \rightarrow a b \underline{A} b \rightarrow a b b \underline{B} b b \rightarrow \boldsymbol{a b b b b}
$$

## P. $4 \Rightarrow$ Solution

The derivation we need is shown below.

$$
S \rightarrow 1 \underline{\mathrm{~N}} \rightarrow 11 \underline{\mathrm{NN}} \rightarrow 110 \underline{\mathrm{SN}} \rightarrow 1100 \mathrm{MN} \rightarrow 11001 \underline{\mathrm{~N}} \rightarrow 110010 \underline{\mathrm{~S}} \rightarrow 1100101 \underline{\mathrm{~N}} \rightarrow
$$

The parse tree is sketched next.


## P. $5 \Rightarrow$ Solution

Problem 5.1: Using a leftmost derivation, we write

$$
S \rightarrow a S \rightarrow a a S b S \rightarrow a a b S \rightarrow a a b
$$

However, a leftmost derivation can also be obtained by writing

$$
S \rightarrow a S b S \rightarrow a a S b S \rightarrow a a b S \rightarrow a a b
$$

The parse trees are shown below.


Problem 5.2: The idea is to introduce another nonterminal $T$ that cannot generate an unbalanced $a$. That strategy corresponds to the usual rule in programming languages that an "else" is associated with the closest previous, unmatched "then." Here, we force $a b$ to match the previous unmatched $a$. Proceeding accordingly, we obtain the grammar

$$
\underset{T \rightarrow a T b T \mid \varepsilon}{S \rightarrow a S|a T b S| \varepsilon}
$$

## P. $6 \Rightarrow$ Solution

The grammar we desire can be straightforwardly represented as

$$
S \rightarrow S+S|S S| S^{*}|(S)| 0|1| \varnothing \mid \varepsilon
$$

The idea is that these productions for $S$ allow any expression to be, respectively, the sum (union) of two expressions, the concatenation of two expressions, the star of an expression, a parenthesized expression, or one of the four basis cases of expressions: $0,1, \emptyset$, and $\varepsilon$. The grammar is not unambiguous. We need to have three nonterminals, corresponding to the three possible "strengths" of expressions:

1. A factor cannot be broken by any operator. These are the basis expressions, parenthesized expressions, and the expressions followed by one or more $\star$ 's.
2. A term can be broken only by $a \star$. For example, consider 01 , where the 0 and 1 are concatenated, but if we follow it by a $\star$, it becomes $0\left(1^{*}\right)$, and the concatenation has been "broken" by the $\star$.
3. An expression can be broken by concatenation or $\star$, but not by + . An example is the expression $0+1$. Note that if we concatenate, say, 1 or follow by a $\star$, we parse the expression $0+(11)$ or $0+(1 \star)$, and in either case the union has been broken.

Denoting factor by F, term by $T$, and expression by $E$, we propose the unambiguous grammar

$$
\begin{gathered}
F \rightarrow F^{*}|(E)| 0|1| \varnothing \mid \varepsilon \\
T \rightarrow T F \mid F \\
E \rightarrow E+T \mid T
\end{gathered}
$$

## P. $7 \Rightarrow$ Solution

Problem 7.1: There is only one nonterminal symbol in $G_{l}$ and the only rule that retains that symbol is $S \rightarrow O S 1$. Thus, the derivations of $G_{l}$ have general form

$$
S \Rightarrow 0 S 1 \Rightarrow 00 S 11 \Rightarrow \ldots \Rightarrow 0^{n} S 1^{n} \Rightarrow 0^{n} 1^{n}
$$

Thus, the language we aim for is described as

$$
L\left(G_{1}\right)=\left\{0^{n} 1^{n} \mid n \geq 0\right\}
$$

Problem 7.2: Since the nonterminal symbol $A$ can only produce terminal symbols, we may delay its substitution to the end. It follows that derivations of $G_{2}$ have general form such that

$$
\begin{gathered}
S \Rightarrow A B A \Rightarrow A b S A \Rightarrow A b A B A A \Rightarrow A b A b S A A \\
\Rightarrow A(b A)^{2} B A^{3} \Rightarrow \ldots \Rightarrow A(b A)^{n} B A^{n+1} \Rightarrow A(b A)^{n} A^{n+1}
\end{gathered}
$$

Now, if we replace each $A$ by either $a$ or $b b$, we get a sentence of the form

$$
(a+b b)(b a+b b b)^{n}(a+b b)^{n+1}
$$

Thus, language $L\left(G_{2}\right)$ consists of all these strings with $n \geq 0$.

## P. $8 \Rightarrow$ Solution

Problem 8.1: Doesn't get any easier than this, does it?

$$
S \rightarrow S
$$

Problem 8.2: The grammar we desire can be represented by the productions

$$
\begin{gathered}
S \rightarrow O R O|I R| \varepsilon \\
R \rightarrow O R|I R| \varepsilon
\end{gathered}
$$

Problem 8.3: The grammar we aim for can be represented by the productions

$$
S \rightarrow 0|1| 00 \mathrm{~S}|01 \mathrm{~S}| 10 \mathrm{~S} \mid 11 \mathrm{~S}
$$

Problem 8.4: The grammar we were asked to determine is

$$
S \rightarrow 0 S 0|1 S 1| 0|1| \varepsilon
$$

## P. $9 \Rightarrow$ Solution

Language of Problem 8.1: A PDA that never enters an accept state can be used to model a context-free grammar that generates a language given solely by the empty set.

Language of Problem 8.2: This language is regular. The PDA reads the input and keeps track of the first and last symbol in its finite control. If they are the same, the machine accepts; otherwise, it rejects.

Language of Problem 8.3: This language is regular. The PDA reads the input and keeps track of the length (modulo-2) using its finite control. If the length is 1 (mod 2$)$, it accepts; otherwise, it rejects.

Language of Problem 8.4: The PDA reads the input and pushes each symbol onto its stack. At some point it nondeterministically guesses when it has reached the middle. It also nondeterministically guesses whether the string has odd length or even length. If it guesses even, it pushes the current symbol it's reading onto the stack. If it guesses the string has odd length, it goes to the next input symbol without changing the stack. Then it reads the rest of the input, and it compares each symbol it reads to the symbol on the top of the stack. If they are the same, it pops the stack, and continues reading. If they are different, it rejects. If the stack is empty when it finishes reading the input, it accepts. If the stack is empty before it reaches the end of the input, or nonempty when the input is finished, it rejects.

## P. $10 \Rightarrow$ Solution

Problem 10.1: The complement of the language $\left\{a^{n} b^{n} \mid n \geq 0\right\}$ can be described by the following grammar.

$$
\begin{gathered}
S \rightarrow X b X a X|T| U \\
T \rightarrow a T b|T b| b \\
U \rightarrow a U b|a U| a \\
X \rightarrow a X|b X| \varepsilon
\end{gathered}
$$

Problem 10.2: The language in question can be described as follows.

$$
\begin{gathered}
S \rightarrow M \# P \# M|P \# M| M \# P \mid P \\
P \rightarrow a P a|b P b| a|b| \varepsilon|\#| \# M \# \\
M \rightarrow a M|b M| \# M \mid \varepsilon
\end{gathered}
$$

Note that we need to allow for the case when $i=j$, that is, some $x_{i}$ is a palindrome. Also, $\varepsilon$ is in the language since it is a palindrome.

## P. $11 \Rightarrow$ Solution

Problem 11.1: A context-free grammar that generates $A$ can be described as $G=(V, \Sigma, R, S)$, where nonterminal symbol set $V=\left\{S, E_{a b}, E_{b c}, C, A\right\}$ and terminal symbol set $\Sigma=\{a, b, c\}$, giving

$$
\begin{aligned}
S & \rightarrow E_{a b} C \mid A E_{b c} \\
E_{a b} & \rightarrow a E_{a b} b \mid \varepsilon \\
E_{b c} & \rightarrow b E_{b c} C \mid \varepsilon \\
C & \rightarrow C c \mid \varepsilon \\
A & \rightarrow A a \mid \varepsilon
\end{aligned}
$$

Initially substituting $E_{a b} C$ for $S$ generates any string with an equal number of $a$ 's and $b$ 's followed by any number of $c$ 's. Initially substituting $E_{b c}$ for $S$ generates any string with an equal number of $b$ 's and $c$ 's prepended by any number of $a$ 's. The grammar is ambiguous. Consider the string $\varepsilon$. On the one hand, it can be derived by choosing $E_{a b} C$ with each of $E_{a b}$ and $C$ yielding $\varepsilon$. On the other hand, $\varepsilon$ can be derived by choosing $A E_{b c}$ with each of $A$ and $E_{b c}$ yielding $\varepsilon$. In general, any string $a^{i} b^{j} c^{k}$ with $i=j=k$ can be derived ambiguously with this grammar.

Problem 11.2: The automaton is described as follows.

1. Nondeterministically branch to either stage 2 or stage 6.
2. Read and push $a$ 's.
3. Read $b$ 's, while popping $a$ 's.
4. If b's finish then stack is empty, skip c's on input and accept.
5. Skip a's on input.
6. Read and push b's.
7. Read $c$ 's, while popping $b$ 's.
8. If $c$ 's finish when stack is empty, accept.

## P. $12 \Rightarrow$ Solution

The transition system to be determined can be described as

$$
M=\left(\left\{q_{s}, q_{A}, q_{f}\right\},\{0,1\}, \delta, q_{s},\left\{q_{f}\right\}\right)
$$

where $q_{s}$ and $q_{A}$ correspond to $S$ and $A$, respectively. $q_{f}$ is the final state, used for production $A \rightarrow 0$. Using the grammar rules, we have the following transitions:

|  | Production $S \rightarrow O A$ |
| :---: | :---: |
|  | Production $A \rightarrow 1 A$ |
|  | Production $A \rightarrow 15$ |
|  | Production $S \rightarrow 0$ |

These transitions can be combined to yield the following automaton.


## P. $13 \Rightarrow$ Solution

The system at hand can be described by a grammar $G=(V, \Sigma, R, S)$ with nonterminal symbol set $V=\{S, M, N, P\}$, terminal symbol set $\Sigma=\{0,1\}$, and production rules

$$
\begin{aligned}
S & \rightarrow O M \mid 1 N \\
M & \rightarrow O M \mid 1 P \\
N & \rightarrow O P \mid 1 N \\
P & \rightarrow O P|1 P| \varepsilon
\end{aligned}
$$

## P. $14 \Rightarrow$ Solution

Problem 14.1: The language of automaton $M$ works as follows. First, at initial state $q$, the automaton pushes the input symbols $a$ and $b$ to the stack until it reads a tape symbol $c$. After reading $c$, it moves to state $p$. In state $p$, $M$ compares each symbol on the tape with the top symbol of the stack. If they are all equal, then the machine accepts the input. Let $w \in\{a, b\}^{*}$ be the prefix of the input before the first occurrence of symbol $c$, and $x$ be the suffix of the input after the first occurrence of $c$. We note that, as the PDA switches to state $p$, the string $w$ is stored in the stack in the reverse order (the first symbol of $w$ is at the bottom of the stack, and the last symbol is at the top). So, when it compares the suffix $x$ with the stack symbols, it compares the first symbol of $x$ with the last symbol of $w$, and so on. Therefore, it only accepts if $x=w^{R}$. That is,

$$
L(M)=\left\{w c w^{R} \mid w \in\{a, b\}^{*}\right\}
$$

Problem 14.2: Put simply, the PDA works as follows:

1. Push the first symbol of the input string, whatever it is.
2. If the input symbol and top element of the pushdown system are the same, then push the input symbol to the PDS.
3. If the input symbol and top element of the pushdown system are different (i.e., input is $a$ and top element of PDS is $b$, or input is $b$ and top element of the PDS is $a$ ) then pop the top element of the PDS.

To design the PDA, observe the following from the transition function rules. The first input symbol of the language is pushed onto the empty stack (see transitions $T_{1}$ and $T_{2}$ ). As the second symbol of the input is read, the PDA
performs a push operation if the input symbol and pop element of the pushdown stack are the same (see transition rules $T_{3}$ and $T_{4}$ ), otherwise the PDA performs a pop operation (see rules $T_{5}$ and $T_{6}$ ). Accordingly, a single a pops a single $b$, and a single $b$ pops a single $a$ from the pushdown store. In this manner, a $n$ number of $a$ 's pops a $n$ number of $b$ 's and vice versa. It follows that if the language holds an equal number of $a$ 's and $b$ 's, the stack becomes empty after reading the complete input. This shows the acceptability of the required language by null store.

A final note: The PDA addressed in this problem also accepts the language $L^{\prime}=\left\{a^{n} b^{n} \mid n \geq 0\right\}$, because it contains an equal number of $a$ 's and $b$ 's. Language $L^{\prime}$ can be described by a set of transition rules similar to the one provided above (although $T_{2}, T_{4}$ and $T_{5}$ ultimately become useless when describing $L^{\prime}$ ).

Problem 14.3: The approach to describe this automaton and finding the transition function rules is similar to the one adopted in Problem 14.2. The PDA reads the first input symbol and pushes it onto the stack. The PDA reads the whole input and carries out a push operation if the input symbol and the entry at the top of the stack are the same; otherwise, the PDA performs a pop operation. This way, the stack does not become empty after reading the full input. There is at least one $a$ in the system stack, indicating that the number of $a$ 's is greater than the number of $b$ 's.

The pushdown automaton stays in state $q_{0}$ until it decides to quit, which it does by checking that the stack has at least on $a$ on it. In state $q_{0}$ the PDA is able either to quit or continue reading, so the constructed PDA is nondeterministic. The following rules are used to describe transition function $\delta$,

| $T_{1}: \delta\left(q_{0}, a, Z_{0}\right)=\left(q_{0}, a Z_{9}\right)$ | If string starts with an $a$. |
| :---: | :---: |
| $T_{2}: \delta\left(q_{0}, b, Z_{0}\right)=\left(q_{o}, b Z_{9}\right)$ | If string starts with an $b$. |
| $T_{3}: \delta\left(q_{0}, a, a\right)=\left(q_{o}, a a\right)$ |  |
| $T_{4}: \delta\left(q_{0}, b, b\right)=\left(q_{0}, b b\right)$ |  |
| $T_{5}: \delta\left(q_{0}, a, b\right)=\left(q_{0}, \varepsilon\right)$ |  |
| $T_{6}: \delta\left(q_{0}, b, a\right)=\left(q_{0}, \varepsilon\right)$ |  |
| $T_{7}: \delta\left(q_{0}, \varepsilon, a\right)=\left(q_{1}, a\right)$ | Case when $n_{a}(x)>n_{b}(x)$ |

The deterministic PDA equivalent to the system described above will retain states $q_{0}$ and $q_{1}$, but it will enter $q_{1}$, whenever it reads an $a$ and the stack is empty. This move does not change the status of the pushdown store. If it pushed an $a$, in order to reflect the fact that there is currently no surplus of $a$ 's, there would be no way to determine, at the point in which $a$ was about to be removed from the stack, that the PDA should leave state $q_{1}$. The PDA will leave the state $q$, only by reading $b$ when the stack is empty - except for $Z_{0}$, that is, by reading $b$ when there is currently one excess $a$, and that move also leaves the stack unchanged. The deterministic PDA described by the following transition rules has $q_{1}$ as final state and there is no move specified from $q_{1}$ with stack symbol $b$ or from $q_{0}$ with stack symbol $a$, because neither of these transitions will ever occur.

| $T_{1}: \delta\left(q_{0}, a, Z_{0}\right)=\left(q_{1}, Z_{9}\right)$ |
| :---: |
| $T_{2}: \delta\left(q_{0}, b, Z_{0}\right)=\left(q_{0}, b Z_{9}\right)$ |
| $T_{3}: \delta\left(q_{0}, a, b\right)=\left(q_{0}, \varepsilon\right)$ |
| $T_{4}: \delta\left(q_{0}, b, b\right)=\left(q_{0}, b b\right)$ |
| $T_{5}: \delta\left(q_{1}, a, Z_{0}\right)=\left(q_{1}, a Z_{0}\right)$ |
| $T_{6}: \delta\left(q_{1}, b, Z_{0}\right)=\left(q_{0}, Z_{0}\right)$ |
| $T_{7}: \delta\left(q_{1}, a, a\right)=\left(q_{1}, a a\right)$ |
| $T_{8}: \delta\left(q_{1}, b, a\right)=\left(q_{1}, \varepsilon\right)$ |

To show that the rules above indeed generate the language we were given, we take a string with more $a$ 's than b's - say, abbabaa - and apply the pertaining transition rules:

$$
\begin{aligned}
& \left(q_{0}, a b b a b a a, Z_{0}\right) \xrightarrow{T_{1}}\left(q_{1}, b b a b a a, Z_{0}\right) \xrightarrow{T_{6}}\left(q_{1}, b a b a a, Z_{0}\right) \\
& \xrightarrow{T_{2}}\left(q_{0}, a b a a, b Z_{0}\right) \xrightarrow{T_{3}}\left(q_{0}, b a a, Z_{0}\right) \xrightarrow{T_{2}}\left(q_{0}, a a, b Z_{0}\right) \\
& \left.\xrightarrow{T_{3}}\left(q_{0}, a, Z_{0}\right) \xrightarrow{T_{1}}\left(q_{1}, \varepsilon, Z_{0}\right) \text { (string accepted by transition } T_{1}\right)
\end{aligned}
$$

Problem 14.4: The pushdown automaton that represents language $L$ is somewhat similar to the ones considered in 14.3 and 14.2. Importantly, if the top element of the stack is an $a$, then the number of $a$ 's in the input is greater than the number of $b$ 's; similarly, if the top element of the stack is a $b$, then the number of $b$ 's in the input is greater than the number of $a$ 's. The transition function $\delta$ is described by the following rules,

| $T_{1}: \delta\left(q_{0}, a, Z_{0}\right)=\left(q_{0}, a Z_{9}\right)$ |  |
| :---: | :---: |
| $T_{2}: \delta\left(q_{0}, b, Z_{0}\right)=\left(q_{0}, b Z_{9}\right)$ |  |
| $T_{3}: \delta\left(q_{0}, a, a\right)=\left(q_{0}, a a\right)$ |  |
| $T_{4}: \delta\left(q_{0}, b, b\right)=\left(q_{0}, b b\right)$ |  |
| $T_{5}: \delta\left(q_{0}, a, b\right)=\left(q_{0}, \varepsilon\right)$ |  |
| $T_{6}: \delta\left(q_{0}, b, a\right)=\left(q_{0}, \varepsilon\right)$ | PDA reaches final state $q_{f}$ with <br> more $a$ 's than $b$ 's |
| $T_{7}: \delta\left(q_{0}, \varepsilon, a\right)=\left(q_{f}, a\right)$ | PDA reaches final state $q_{f}$ with <br> more $b$ 's than $a$ 's |
| $T_{8}: \delta\left(q_{0}, \varepsilon, b\right)=\left(q_{f}, b\right)$ |  |

Problem 14.5: The language at hand can be restated as $L=\left\{a^{n}(b b b)^{n} \mid n\right.$ $\geq 0\}$. The basic principles for designing this PDA are (1) all $a$ 's should be pushed onto the stack after scanning, and (2) when $b$ 's are scanned, a group of three $b$ 's pops one $a$. The required PDA is described by the tuple

$$
M=\left(\left\{q_{0}, q_{1}, q_{2}, q_{3}, q_{f}\right\},\{a, b\},\left\{a, Z_{0}\right\}, \delta, Z_{0}, q_{0},\left\{q_{f}\right\}\right)
$$

where transition function $\delta$ is described by the following rules.

| $T_{1}: \delta\left(q_{0}, \varepsilon, Z_{0}\right)=\left(q_{f}, Z_{0}\right)$ | Terminating transition if $\varepsilon \in L(\mathrm{a}$ <br> case for $n=0)$. |
| :---: | :---: |
| $T_{2}: \delta\left(q_{0}, a, Z_{0}\right)=\left(q_{0}, a Z_{0}\right)$ | First $a$ is pushed onto stack. |
| $T_{3}: \delta\left(q_{0}, a, a\right)=\left(q_{0}, a a\right)$ | Additional $a$ 's are pushed onto stack. |
| $T_{4}: \delta\left(q_{0}, b, a\right)=\left(q_{1}, a\right)$ | First $b$ is scanned from a group of <br> three $b$ 's. |
| $T_{5}: \delta\left(q_{1}, b, a\right)=\left(q_{2}, a\right)$ | Second $b$ is scanned from a group of <br> three $b$ 's. |
| $T_{6}: \delta\left(q_{2}, b, a\right)=\left(q_{3}, \varepsilon\right)$ | Third $b$ from group of three $b$ 's is <br> scanned; one $a$ is popped. |
| $T_{7}: \delta\left(q_{3}, b, a\right)=\left(q_{1}, a\right)$ | First $b$ is scanned from next group of <br> $b b b$. |
| $T_{8}: \delta\left(q_{3}, \varepsilon, Z_{0}\right)=\left(q_{f}, \varepsilon\right)$ | The input string is exhausted and <br> accepted in the final state. |

The PDA at hand is based on acceptability by final state. To consider acceptability by null (empty) store we need to replace transitions $T_{1}$ and $T_{8}$ with

$$
\begin{aligned}
& T_{1}: \delta\left(q_{0}, \varepsilon, Z_{0}\right)=\left(q_{0}, Z_{0}\right) \\
& T_{8}: \delta\left(q_{3}, \varepsilon, Z_{0}\right)=\left(q_{3}, Z_{0}\right)
\end{aligned}
$$

respectively, yielding an automaton $M=\left(\left\{q_{0}, q_{1}, q_{2}, q_{3}\right\},\{a, b\},\left\{a, Z_{0}\right\}, \delta, q_{0}, Z_{0}\right.$, $\{\varnothing\}$ ).

Problem 14.6: The solution automaton can be divided into two parts. In one part, the PDA compares $a$ 's and $b$ 's and arrives at the final state by ensuring that either $i>j$ or $i<j$. In the other part, the PDA compares $b$ 's with $c$ 's and reaches the final state while ensuring that either $k>j$ or $k<j$. The transition rules for this PDA are shown below.

| $T_{1}: \delta\left(q_{0}, a, Z_{0}\right)=\left(q_{0}, a Z_{0}\right)$ | The first $a$ is pushed onto stack. |
| :---: | :---: |
| $T_{2}: \delta\left(q_{0}, a, a\right)=\left(q_{0}, a a\right)$ | The remaining $a$ 's are pushed onto stack. |
| $T_{3}: \delta\left(q_{0}, b, a\right)=\left(q_{1}, \varepsilon\right),\left(q_{2}, b\right)$ | First $b$ is scanned. |
| $T_{4}: \delta\left(q_{1}, b, a\right)=\left(q_{1}, \varepsilon\right)$ | Second-to-last $b$ is scanned. |
| $T_{5}: \delta\left(q_{1}, b, Z_{0}\right)=\left(q_{f}, Z_{0}\right)$ | $i<j$, PDA reaches final state $q_{f}$. |
| $T_{6}: \delta\left(q_{1}, c, a\right)=\left(q_{f}, a\right)$ | $i>j$, PDA reaches final state $q_{f}$. |
| $T_{7}: \delta\left(q_{2}, b, b\right)=\left(q_{2}, b b\right)$ |  |
| $T_{8}: \delta\left(q_{2}, c, b\right)=\left(q_{2}, \varepsilon\right)$ |  |
| $T_{9}: \delta\left(q_{2}, c, a\right)=\left(q_{f}, a\right)$ | $j<k$, PDA reaches final state $q_{f}$. |
| $T_{10}: \delta\left(q_{2}, \varepsilon, b\right)=\left(q_{f}, b\right)$ | $j>k$, PDA reaches final state $q_{f}$. |

## P. $15 \Rightarrow$ Solution

To obtain the Chomsky normal form (CMF) of a context-free diagram, we proceed as follows. Suppose the CFG we begin with has general form $G=$ $(V, \Sigma, P, S)$.
Step 1: Simplification. Simplify the grammar by performing null and unit production eliminations. These are not covered herein but can be found in Chapter 6 of Kumar (2010). In the simplest possible terms, a context-free grammar with no null productions has no relations of the form $A \rightarrow \varepsilon$, while a CFG with no unit productions has no relations of the form $A \rightarrow B$, where $A$ and $B$ are nonterminals. Let the grammar obtained after simplification be $G$ ' $=\left(V^{\prime}, \Sigma^{\prime}, P^{\prime}, S\right)$.
Step 2: Elimination of terminals from right-hand side. Let grammar $G^{\prime \prime}=\left(V^{\prime \prime}, \Sigma^{\prime}\right.$, $P ", S)$ be the grammar obtained in this step. $P$ " and $V$ " are constructed as follows.
$2.1 \rightarrow$ All the production rules in $P^{\prime}$ that have the form $A \rightarrow b$ or $B \rightarrow B D$ are included in $P^{\prime \prime}$, and all the variables in $V^{\prime}$ are included in $V^{\prime \prime}$.
$2.2 \rightarrow$ For productions of the form $X \rightarrow A_{1} A_{2} \ldots A_{n}$ with one or more terminals on the right-hand side, then for every terminal $A_{i}$ associated with some value $a_{i}$ we create a new variable $C_{a_{i}}$ associated with a new rule $C_{a_{i}} \rightarrow a_{i}$. Then, we add variable $C_{a_{i}}$ to $V$ " and the new production rule to $P$ ".

Every terminal on the right-hand side of the production $X \rightarrow A_{1} A_{2} \ldots A_{n}$ is replaced by the corresponding new variable produced in 2.2 , and the variables on the right-hand side are retained. The resulting productions are added to set $P^{\prime \prime}$, which gives the updated grammar $G^{\prime \prime}$.
Step 3. Restricting the number of variables on right-hand side. Every production in $P^{\prime \prime}$ must contain either a single terminal (or $\varepsilon$ in the case $S \rightarrow \varepsilon$ ) or two or more variables on the right-hand side. We define grammar $G$ "' $=$ ( $V^{\prime \prime \prime}, \Sigma \Sigma^{\prime}, P$ '", $S$ ) as follows:
$3.1 \rightarrow$ All production rules $P$ " that obey the requirement above are included in $P$ "'; all variables in $V$ " are included in $V$ "'.
$3.2 \rightarrow$ If there are productions of the form $A \rightarrow A_{1} A_{2} \ldots A_{n}$, where $n \geq 3$, we introduce production rules $A \rightarrow A_{1} C_{1}, C_{1} \rightarrow A_{2} C_{2}, C_{2} \rightarrow A_{3} C_{2}, C_{3} \rightarrow C_{3} A_{4}, \ldots C_{n-2} \rightarrow$ $A_{n-2} A_{n}$ and new variables $C_{1}, C_{2}, C_{3}, \ldots, C_{n-2}$. These productions are added to P",' and the new variables $C_{i}$ produced are added to $V^{\prime \prime \prime}$.

Once step 3 is completed, the resulting grammar $G$ '" will be in Chomsky normal form.

Notice that the grammar we were given has neither null productions nor unit productions, so we can skip step 1 altogether. At this point, we have grammar $G^{\prime}=\left(V^{\prime}, \Sigma^{\prime}, P^{\prime}, S\right)$, where

$$
\begin{gathered}
V^{\prime}=\{S, A, B, C\} \\
\Sigma^{\prime}=\{a, b, c\} \\
P^{\prime}=\{S \rightarrow a A C, A \rightarrow a B \mid b A B, B \rightarrow b, C \rightarrow c\}
\end{gathered}
$$

$S$ is the start symbol, and $S \in V^{\prime}$
We proceed to step 2 , in which we have to eliminate terminals from the right-hand side of production rules. Rules $B \rightarrow b$ and $C \rightarrow c$ are already in desired form, but $S \rightarrow a B C$ and $A \rightarrow a B \mid b A B$ must be reworked. We introduce a new variable $C_{a} \rightarrow a$ and restate $S \rightarrow a B C$ as $S \rightarrow C_{a} B C$. Also, $A \rightarrow a B$ is updated as $A \rightarrow C_{a} B$. A second variable $C_{b} \rightarrow b$ is introduced, and rule $A \rightarrow b A B$ becomes $A \rightarrow C_{b} A B$. This concludes step 2. At this point, we have $G^{\prime \prime}=\left(V^{\prime \prime}, \Sigma^{\prime}\right.$, $P^{\prime \prime}, S$ ), where

$$
\begin{gathered}
V^{\prime \prime}=\left\{S, A, B, C, C_{a}, C_{b}\right\} \\
P^{\prime \prime}=\left\{\begin{array}{c}
S \rightarrow C_{a} A C, C_{a} \rightarrow a, A \rightarrow C_{a} B \mid C_{b} A B, C_{b} \rightarrow b, \\
B \rightarrow b, C \rightarrow c
\end{array}\right\}
\end{gathered}
$$

We move on to step 3, where we have to restrict the number of variables on the right-hand side of production rules in $P_{i}$. Note that productions $C_{a} \rightarrow a, A \rightarrow C_{a} B, C_{b} \rightarrow b, B \rightarrow b$, and $C \rightarrow c$ are all in required form, but $S \rightarrow C_{a} A C$ and $A \rightarrow C_{b} A B$ are not. To deal with these cases, we rewrite the former as $S \rightarrow C_{a} C_{1}$, where $C_{1}$ is a new variable such that $C_{1} \rightarrow A C$, and the latter as $A \rightarrow C_{b} C_{2}$, where $C_{2} \rightarrow A B$. Variables $C_{1}$ and $C_{2}$ are added to $V^{\prime \prime \prime}$, and
the production rules listed in this paragraph are added to $P^{\prime \prime \prime}$. At this point, we have grammar $G^{\prime \prime \prime}=\left(V^{\prime \prime \prime}, \Sigma \prime, P^{\prime \prime}, S\right)$, where

$$
\begin{gathered}
V^{\prime \prime \prime}=\left\{S, A, B, C, C_{a}, C_{b}, C_{1}, C_{2}\right\} \\
P^{\prime \prime \prime}=\left\{\begin{array}{c}
S \rightarrow C_{a} C_{1}, C_{a} \rightarrow a, A \rightarrow C_{a} B \mid C_{b} C_{2}, C_{b} \rightarrow b, \\
B \rightarrow b, C \rightarrow c, C_{1} \rightarrow A C, C_{2} \rightarrow A B
\end{array}\right\}
\end{gathered}
$$

Grammar G" is in Chomsky normal form, as intended.

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